This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

e e e e e e e e e e e e e e e e e e e			পুঞ্		
	er Friedrich				
			Se .		
20 July 1					
N. A. C.	And Angles		en e		· · · · · · · · · · · · · · · · · · ·
					Å
		4 1 2 ± 1 ± 1 ± 1 ± 1 ± 1 ± 1 ± 1 ± 1 ± 1			. Y.
V, ±					
en e					
				•	
				*	
			and the second second		
e e e da					
			*		
	•				
Ψ δ					
					_ :
				**	9 8
S 120			en de la companya de La companya de la co	in the second	

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ :		(11) International Publication Number: WO 98/51758
C09K 15/06, A23L 3/3436, C07C 49/786, 45/46, B32B 27/18, B65D 81/26, 51/24, C08K 5/00	A1	(43) International Publication Date: 19 November 1998 (19.11.98)
(21) International Application Number: PCT/US	98/077	34 (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE,
(22) International Filing Date: 16 April 1998 (16.04.9	GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO,
(22) International Filing Date: 16 April 1998 (16.04.9	

US

(71) Applicant: CHEVRON CHEMICAL COMPANY LLC [US/US]; 555 Market Street, San Francisco, CA 94105

16 May 1997 (16.05.97)

- (72) Inventors: KATSUMOTO, Kiyoshi; 2615 Brooks Avenue, El Cerrito, CA 94530 (US). CHING, Ta, Yen; 10 Santa Yorma Court, Novato, CA 94945 (US). GOODRICH, Joseph, L.; 3545 Wilkinson Lane, Lafayette, CA 94549 (US). SPEER, Drew, Ve; 6229 Slender Sky, Columbia, MD 21044 (US).
- (74) Agents: HAYMOND, W., Bradley et al.; Chevron Corporation, Law Dept., P.O. Box 7141, San Francisco, CA 94120-7141 (US).
- (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

- (54) Title: PHOTOINITIATORS AND OXYGEN SCAVENGING COMPOSITIONS
- (57) Abstract

(30) Priority Data: 08/857,325

An oxygen scavenging composition or system is provided comprising an oxygen scavenging material, a photoinitiator, and at least one catalyst effective in catalyzing an oxygen scavenging reaction, wherein the photoinitiator comprises a benzophenone derivative containing at least two benzophenone moieties. A film, a multi-phase composition, a multi-layer composition, a multi-layer film, an article comprising the oxygen scavenging composition, and a method for scavenging oxygen are also provided. Non-extractable benzophenone derivative photoinitiators and methods for preparing same are also provided.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS .	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT.	Austria	FR	France	LU	Luxembourg	SN	
AU	Australia	GA	Gabon	LV	Latvia	SZ	Senegal Swaziłand
\Z	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Swaziiano Chad
3A	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	
В	Barbados	GH	Ghana	MG	Madagascar	TJ	Togo
E	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Tajikistan
F	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkmenistan
BG	Bulgaria	HU	Hungary	ML	Mali		Turkey
BJ	Benin	IE	Ireland	MN	Mongolia	TT	Trinidad and Tobago
3R	Brazil	ĬĹ	Israel	MR	Mauritania	UA	Ukraine
BY	Belarus	IS	Iceland	MW	Malawi	UG	Uganda
CA	Canada	ľT	Itały	MX	Mexico	US	United States of America
CF	Central African Republic	JP	Japan	NE	Niger	UZ	Uzbekistan
CG	Congo	KE	Kenya	NL	Netherlands	VN	Viet Nam
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	YU	Yugoslavia
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand	ZW	Zimbabwe
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
Z	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
Œ	Germany	LI	Liechtenstein	SD	Sudan		
K	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

6

PHOTOINITIATORS AND OXYGEN SCAVENGING COMPOSITIONS

- 3 The present invention relates to photoinitiators, methods for preparing and using
- 4 same, oxygen scavenging compositions, articles made from same and methods
- 5 of scavenging oxygen.

BACKGROUND OF THE INVENTION

- 7 The term "oxygen scavenging" means to absorb, deplete, or react with oxygen
- 8 from a given environment.
- 9 Oxygen scavenging materials have been developed partly in response to the
- 10 food industry's goal of having longer shelf-life for packaged food. One method
- 11 which is currently being employed involves the use of "active packaging" where
- 12 the package is modified in some way so as to control the exposure of the
- 13 product to oxygen. Such "active packaging" can include sachets containing
- 14 iron-based compositions such as AGELESS™ which scavenges oxygen within
- 15 the package through an oxidation reaction. However, such an arrangement is
- 16 not advantageous for a variety of reasons including the accidental ingestion of
- 17 the sachets or the oxygen scavenging material present therein.
- 18 Other techniques involve incorporating an oxygen scavenger into the package
- 19 structure itself. In such an arrangement, oxygen scavenging materials constitute
- 20 at least a portion of the package, and these materials remove oxygen from the
- 21 enclosed package volume which surrounds the product or which may leak into
- 22 the package, thereby, in the case of food products, inhibiting spoilage and
- 23 prolonging freshness.

- 1 Oxygen scavenging materials include low molecular-weight oligomers that are
- 2 typically incorporated into polymers or can be oxidizable organic polymers. Such
- 3 oxygen scavenging materials are typically employed with a suitable catalyst, e.g.,
- 4 an organic or inorganic salt of a transition metal catalyst.
- 5 The onset of useful oxygen scavenging activity may not occur for as long as 30
- 6 days. In order to shorten the induction period and to initiate oxygen scavenging,
- 7 photoinitiators can be employed in conjunction with actinic radiation. Many of
- 8 these photoinitiators and resulting by-products are extractable and can leach into
- 9 the headspace surrounding the packaged product or even enter the product
- 10 itself. Such leaching can produce foul odors or unpleasant taste or can be
- 11 otherwise undesirable.

12 <u>SUMMARY OF THE INVENTION</u>

- 13 It is an object of the present invention to provide a composition effective for
- 14 oxygen scavenging under typical storage conditions.
- 15 It is another object of the present invention to provide a composition which is
- 16 effective for oxygen scavenging at low temperatures.
- 17 It is another object of the present invention to provide an oxygen scavenging
- 18 composition which produces reduced levels of extractable compounds.
- 19 It is another object of the present invention to provide an oxygen scavenging
- 20 composition which has a short initiation period upon activation.
- 21 It is another object of the present invention to provide an oxygen scavenging
- 22 composition which exhibits improved shelf life prior to activation.

- 1 It is another object of the present invention to provide a film or a multi-layer film
- 2 capable of scavenging oxygen.
- 3 It is another object of the present invention to provide an article, package or
- 4 container suitable for oxygen scavenging.
- 5 It is another object of the present invention to provide a method for preparing an
- 6 oxygen scavenging composition.
- 7 It is another object of the present invention to provide a method for scavenging
- 8 oxygen.
- 9 It is another object of the present invention to provide novel photoinitiators which
- 10 are effective initiators for oxygen scavenging.
- 11 It is another object of the present invention to provide novel photoinitiators which
- 12 are essentially non-leachable.
- 13 It is another object of the present invention to provide methods for preparing
- 14 novel photoinitiators.
- 15 According to the present invention, an oxygen scavenging composition or system
- 16 is provided comprising an oxygen scavenging material, a photoinitiator, and at
- 17 least one catalyst effective in catalyzing the oxygen scavenging reaction. The
- 18 photoinitiator comprises a benzophenone derivative containing at least two
- 19 benzophenone moieties. A film, a multi-phase composition, a multi-layer
- 20 composition, a multi-layer film, an article comprising the oxygen scavenging
- 21 composition, as well as a method for preparing the oxygen scavenging
- compositions, and a method for scavenging oxygen are also provided.

- 1 According to other aspects of the present invention, non-extractable
- 2 photoinitiators and methods for preparing same are provided.

3 BRIEF DESCRIPTION OF THE DRAWINGS

- 4 Figures 1, 2 and 3 are a graphic representation of the effectiveness of various
- 5 photoinitiators.

6

DETAILED DESCRIPTION OF THE INVENTION

- 7 It has been found that benzophenone derivatives containing at least two
- 8 benzophenone moieties act as effective photoinitiators to initiate oxygen
- 9 scavenging activity in oxygen scavenging compositions and to provide a
- 10 composition having a very low degree of extraction of the photoinitiators which
- 11 may contaminate a packaged product. By benzophenone moiety is meant a
- 12 substituted or unsubstituted benzophenone group. Suitable substituents are any
- 13 substituent which does not interfere with the objects of the invention and include
- 14 alkyl, aryl, alkoxy, phenoxy, and alicylic groups containing from 1 to 24 carbon
- 15 atoms or halides.
- 16 These derivatives are substantially non-extractable by most organic solvents at
- 17 room temperature. By substantially non-extractable is meant that less than
- about 500 ppb photoinitiator are extracted through a 0.5 mil polyethylene film in
- 19 ten days at room temperature from an oxygen scavenging composition
- 20 containing 1000 ppm by weight photoinitiator when exposed to 10 g fatty food
- 21 simulant per square inch of 1 mil film, preferably 250 ppb photoinitiator or less
- are extracted, more preferably 100 ppb photoinitiator or less are extracted, and
- 23 most preferably 50 ppb photoinitiator or less are extracted.

- 1 Such benzophenone derivatives include dimers, trimers, tetramers, and
- 2 oligomers of benzophenone-type photoinitiators derived from benzophenone and
- 3 substituted benzophenones, as fully described herein below.
- 4 The substantially non-extractable photoinitiators are represented by the following
- 5 formula:

 $X_m(Y)_n$

- 7 Wherein each X is a bridging group selected from the group consisting of sulfur;
- 8 oxygen; carbonyl; -SiR₂-, wherein each R is individually selected and is an alkyl
- 9 group containing from 1 to 12 carbon atoms, an aryl group containing 6 to
- 10 12 carbon atoms, or an alkoxy group containing from 1 to 12 carbon atoms;
- 11 -NR'-, wherein R' is an alkyl group containing 1 to 12 carbon atoms, aryl group
- 12 containing 6 to 12 carbon atoms, or hydrogen; and an organic group containing
- 13 from 1 to 50 carbon atoms, preferably from 1 to 40 carbon atoms. X can be a
- 14 divalent group or can be a polyvalent group with 3 or more benzophenone
- moieties attached. Wherein m is 0 to 11.
- 16 The organic bridging group, when present, can be linear, branched, cyclic
- including fused or separate cyclic groups or an arylene group which can be fused
- 18 or non-fused polyaryl groups. The organic bridging group can contain one or
- 19 more heteroatoms such as oxygen, nitrogen, phosphorous, silicon or sulfur or
- 20 combinations thereof. Oxygen can be present as an ether, ketone, ester, or
- 21 alcohol.
- 22 Wherein each Y is a substituted or unsubstituted benzophenone group. Wherein
- 23 each substituent, R", when present, is individually selected from any substituent
- 24 which does not substantially interfere with the objects of the invention and

- 1 include alkyl, aryl, alkoxy, phenoxy, or alicylic groups containing from 1 to
- 2 24 carbon atoms, or halides. Each benzophenone moiety can have up to
- 3 9 substituents. Wherein n is 2-12.
- 4 Preferably, the combined molecular weight of the X and R" groups is at least
- 5 about 30 g/mole. Appropriate choice of substituents can render the photoinitiator
- 6 more compatible with the oxygen scavenging composition. Such substituents
- 7 include alkyl and alkoxy for example.
- 8 Preferably, the oxygen scavenging composition consumes half of the available
- 9 oxygen in a container containing 1 percent oxygen in less than 4 days at 25°C.
- More preferably, the oxygen scavenging composition consumes half of the
- 11 available oxygen in a container containing 1 percent oxygen in less than 4 days
- 12 at 4°C.
- 13 The photoinitiators include multiplets of ultraviolet (UV) initiators linked by cross
- 14 conjugation so as to retain the UV absorption characteristics with enhanced UV
- absorptivity, i.e., higher extinction coefficient. The linkages within the dimers,
- trimers, tetramers, and oligomers can be flexible or rigid. The rigid type are
- 17 preferred for lower extractability. However, a certain degree of flexibility is
- 18 required to facilitate the melt blending to allow a molecular level distribution in
- 19 the polymer films.
- 20 Typically, these compounds have a strong UV absorption at about 200-400 nm.
- 21 Generally, the molecular weight will be greater than about 360 g/mole, preferably
- 22 in the range of from about 360 g/mole to about 5000 g/mole, more preferably in
- the range of from 390 g/mole to 4000 g/mole.

- 1 The photoinitiators are substantially non-extractable by most organic solvents
- 2 when incorporated into oxygen scavenging compositions. They provide efficient
- 3 light absorption to trigger a photochemical induced oxidation. The photoinitiators
- 4 are stable and do not fragment into extractable by-products. The photoinitiators
- 5 are also large enough or rigid enough to be immobile and therefore cannot be
- 6 leached into the packaged food or product. The photoinitiators do not sublime
- 7 under normal processing and handling conditions. This assures an extended
- 8 shelf life for the preactivated composition and a safe packaging material
- 9 according to U.S. Food and Drug Administration standards.
- 10 Examples of non-extractable photoinitiators include dibenzoyl biphenyl,
- 11 substituted dibenzoyl biphenyl, benzoylated terphenyl, substituted benzoylated
- 12 terphenyl, tribenzoyl triphenylbenzene, substituted tribenzoyl triphenylbenzene,
- 13 benzoylated styrene oligomer, and substituted benzoylated styrene oligomer.
- 14 Benzoylated styrene oligomer is a mixture of compounds containing from 2 to
- 15 12 repeating styrenic groups comprising dibenzoylated 1,1-diphenyl ethane,
- 16 di-benzoylated 1,3 diphenyl propane, di-benzoylated 1-phenyl naphthalene,
- 17 dibenzoylated styrene dimer, dibenzoylated styrene trimer and tribenzoylated
- 18 styrene trimer.
- 19 Suitable substituents for the above compounds include any substituent which
- does not interfere substantially with the objects of the invention and include alkyl,
- 21 aryl, alkoxy, phenoxy, and alicylic groups containing from 1 to 24 carbon atoms
- 22 or halides.
- 23 Typical examples of suitable alkyl groups include methyl, ethyl, propyl, isopropyl,
- 24 butyl, t-butyl, pentyl, dodecyl, hexadecyl, octadecyl and the like. Specific
- 25 examples of alkoxy groups include methoxy, ethoxy, propoxy, butoxy,

- 1 dodecyloxy and the like. Examples of alicyclic groups include cyclopentyl,
- 2 cyclohexyl, cycloheptyl and the like. The hydrocarbon substituents can be
- 3 saturated or can contain ethylenic unsaturation.
- 4 Examples of specific photoinitiators include 4,4'-bis(4,4'-dimethyldibenzoyl)
- 5 biphenyl; 4,4'-bis(4,4'-diethyldibenzoyl) biphenyl; 4,4'-bis(2,2'-dimethyldibenzoyl)
- 6 biphenyl; 4,4'-bis(2,2'-dimethoxydibenzoyl) biphenyl; 4,4'-bis(4-
- 7 dodecyldibenzoyl) biphenyl; 4,4'-bis(2,2'-diacetyldibenzoyl) biphenyl;
- 8 4,4-bis(4,4'-dimethyldibenzoyl) diphenyl ether; 4,4'-bis(4,4'-diethyldibenzoyl)
- 9 diphenyl ether; 4,4'-bis(2,2-dimethyldibenzoyl) diphenyl ether;
- 10 4,4'-bis(2,2'-dimethoxydibenzoyl) diphenyl ether; 4,4'-bis(4-dodecyldibenzoyl)
- diphenyl ether; 4,4'-bis(2,2'-diacetyldibenzoyl)diphenyl ether;
- 12 4,4'-bis(4,4'-dimethyldibenzoyl)diphenyl sulfide; 4,4'-bis-
- diethyldibenzoyl)diphenyl sulfide; 4,4'-bis(2,2'-dimethyldibenzoyl)diphenyl sulfide;
- 4,4'-bis(2,2'-dimethoxydibenzoyl) diphenyl sulfide; 4,4'-bis(4-dodecyldibenzoyl)
- diphenyl sulfide; 4,4'-bis(2,2'-diacetyldibenzoyl) diphenyl sulfide;
- 4,4'-bis(4,4'-dimethyldibenzoyl) diphenyl amine; 4,4'-bis(4,4'-diethyldibenzoyl)
- diphenyl amine; 4,4-bis(2,2'-dimethyldibenzoyl) diphenyl amine;
- 18 4,4'-bis(2,2'-dimethoxydibenzoyl) diphenyl amine; 4,4'-bis(4-dodecyldibenzoyl)
- diphenyl amine: 4,4'-bis(2,2'-diacetyldibenzoyl) diphenyl amine;
- 20 4,4'-bis(4,4'-dimethyldibenzoyl) diphenyl dimethyl silane;
- 21 4,4'-bis(4,4'diethyldibenzoyl) diphenyl dimethyl silane; 4,4'-bis(2,2'-
- 22 dimethyldibenzoyl) diphenyl dimethylsilane; 4,4'-bis(2,2'-dimethoxydibenzoyl)
- 23 diphenyl dimethyl silane; 4,4'-bis(4-dodecyldibenzoyl) diphenyl dimethyl silane;
- 24 4,4'-bis(2,2'-diacetyldibenzoyl) diphenyl dimethyl silane;
- 25 4,4'-bis(4,4'-dimethyldibenzoyl) benzophenone; 4,4'-bis(4,4'-diethyldibenzoyl)
- 26 benzophenone; 4,4'-bis(4,4'-dipropyldibenzoyl) benzophenone;
- 27 4,4'-bis(2,2'-dimethoxydibenzoyl) benzophenone; 4,4'-bis(4-dodecyldibenzoyl)

- 1 benzophenone; 4,4-bis(2,2'-diacetyldibenzoyl) benzophenone;
- 2 4,4'-bis(4,4'-dimethyldibenzoyl) diphenyl methane; 4,4'-bis(4,4'-diethyldibenzoyl)
- diphenyl methane; 4,4'-bis(4,4'-dipropyldibenzoyl) diphenyl methane;
- 4 4,4'-bis(2,2'-dimethoxydibenzoyl) diphenyl methane; 4,4-bis(4-dodecyldibenzoyl)
- 5 diphenyl methane; 4,4'-bis(2,2'-diacetyldibenzoyl) diphenyl methane;
- 6 4,4'-dibenzoyl-1,4-diphenoxy butane; 4,4'-dibenzoyl-1,2-diphenoxy ethane;
- 7 4,4'-bis(4,4'-dimethyldibenzoyl)-1,4-diphenoxy butane; 4,4'-dibenzoyl-1,12-
- 8 diphenoxy dodecane; tritoluoyl triphenyl benzene, tri(para-methoxybenzoyl)
- 9 triphenyl benzene, dibenzoyl meta-terphenyl, ditoluoyl meta-terphenyl, ditoluoyl
- 10 para-terphenyl, diethyldibenzoyl meta-terphenyl, dipropyldibenzoyl
- 11 ortho-terphenyl, dibutyldibenzoyl para-terphenyl, dipentyldibenzoyl
- 12 meta-terphenyl, dihexyldibenzoyl ortho-terphenyl, diheptyldibenzoyl
- para-terphenyl, dioctyldibenzoyl meta-terphenyl, dioctadecyl dibenzoyl
- 14 ortho-terphenyl, and the like.
- 15 The photoinitiator is present in an amount sufficient to decrease the induction
- 16 period after UV triggering. The amount of photoinitiator employed can vary
- 17 broadly depending on the oxygen scavenging material employed, the
- 18 wavelength, time of exposure and intensity of the radiation used, the type of
- 19 photoinitiator, time of exposure and the amount of antioxidants employed, if any.
- 20 Generally, the photoinitiator will be present in an amount in the range of from
- 21 about 0.001 weight percent to about 10 weight percent based on the total weight
- 22 of the oxygen scavenging composition, preferably from 0.005 weight percent to
- 23 5 weight percent, and more preferably from 0.01 weight percent to 1 weight
- 24 percent.

- 1 An effective photoinitiator is one which provides the oxygen scavenging
- 2 composition an induction period of one day or less. The photoinitiator should be
- 3 effective at 25°C and preferably also effective at 4°C.
- 4 The photoinitiator can be introduced into the oxygen scavenging composition by
- 5 any method known in the art such as coating techniques and extrusion
- 6 compounding (including masterbatching). The photoinitiators can be introduced
- 7 into polymers under conventional melt processing conditions to provide good
- 8 mixing without plate-out on the machine.
- 9 The photoinitiator tribenzoyl triphenylbenzene can be prepared by reacting a
- 10 benzoyl halide, such as benzoyl chloride, and a triphenylbenzene. The benzoyl
- 11 halide and triphenylbenzene can contain additional substituents. Suitable
- 12 substituents comprise any substituent which does not interfere substantially with
- 13 the reaction and include alkyl, aryl, alkoxy, phenoxy, and alicylic groups
- 14 containing from 1 to 24 carbon atoms or halides.
- 15 Preferably, an aluminum- or boron-containing catalyst such as aluminum
- 16 trichloride or boron trifluoride is employed.
- 17 Conditions for reacting the benzoyl chloride and triphenylbenzene can vary
- 18 broadly. Generally, the reaction temperature is in the range of from about -20°C
- 19 to about 150°C, preferably from about -10°C to about 120°C. The pressure is
- 20 not critical and ambient pressure is suitable. Typically, the reaction would be
- 21 conducted in a suitable diluent such as nitrobenzene, chlorobenzene,
- 22 dichlorobenzene, dichloromethane, dichloroethane, trichloroethane, or carbon
- 23 disulfide.

- 1 The photoinitiator benzoylated styrene oligomer can be prepared by reacting
- 2 styrene trimers with a benzoyl halide, such as benzoyl chloride. Styrene trimers
- 3 can be distilled from a polystyrene by-products stream. The benzoyl halide and
- 4 styrene trimers can contain additional substituents. Suitable substituents
- 5 comprise any substituent which does not interfere substantially with the reaction
- 6 and include alkyl, aryl, alkoxy, phenoxy, and alicylic groups containing from 1 to
- 7 24 carbon atoms or halides.
- 8 Preferably, an aluminum- or boron-containing catalyst such as aluminum
- 9 trichloride or boron trifluoride is employed.
- 10 The reaction produces a mixture of products including the major components
- 11 di-benzoylated styrene trimer, tri-benzoylated styrene pentamer,
- 12 tetra-benzoylated styrene pentamer, di-benzoylated styrene dimer, and minor
- 13 components including benzoylated 1-phenyl naphthalene, benzoylated styrene
- 14 dimer, di-benzoylated 1,3 di-phenyl propane, di-benzoylated 1-phenyl
- naphthalene, benzoylated 1,1-di-phenyl ethane, and benzoylated ethyl-benzene.
- 16 Conditions for reacting the benzoyl halide and styrene trimers can vary broadly.
- 17 Generally, the reaction temperature is in the range of from about 0°C to about
- 18 100°C. The pressure is not critical and ambient pressure is suitable.
- 19 The photoinitiator benzoylated terphenyl can be prepared by reacting a terphenyl
- and a benzoyl halide, such as benzoyl chloride. The terphenyl can be para-,
- 21 meta- or ortho-terphenyl. The terphenyl and benzoyl halide can contain
- 22 additional substituents. Suitable substituents comprise any substituent which
- 23 does not interfere substantially with the reaction and include alkyl, aryl, alkoxy,
- 24 phenoxy, and alicylic groups containing from 1 to 24 carbon atoms or halides.

- 1 Generally, a catalyst such as aluminum chloride is employed. The reaction is
- 2 generally conducted in a suitable solvent such as nitrobenzene, chlorobenzene,
- 3 dichlorobenzene, dichloromethane, dichloroethane, trichloroethane, or carbon
- 4 disulfide.
- 5 Conditions for reacting the terphenyl and benzoyl halide can vary broadly.
- 6 Generally, the reaction temperature is in the range of from about 0°C to about
- 7 100°C. The product comprising di-benzoylated terphenyl can be isolated by
- 8 recrystalization from toluene.
- 9 The oxygen scavenging material can be any material known in the art to
- scavenge oxygen, providing that the material does not compete strongly for UV
- 11 absorption in the critical region where the photoinitiator absorbs light. The
- 12 oxygen scavenging material can be any organic compound or polymer which
- 13 contains an oxidizable site. Preferred compounds include ethylenically
- 14 unsaturated compounds and those containing benzylic, allylic and/or tertiary
- 15 hydrogen. Examples of such organic compounds include squalene, dehydrated
- 16 castor oil, polybutene or polypropylene.
- 17 Substituted oxidizable polymers include polymers and copolymers containing
- esters, carboxylic acids, aldehydes, ethers, ketones, alcohols, peroxides, and/or
- 19 hydroperoxides. Preferably, the oxidizable polymers contain two or more
- 20 ethylenically unsaturated sites per molecule, more preferably three or more
- 21 ethylenically unsaturated sites per molecule.
- 22 Other oxidizable compounds suitable as oxygen scavenging materials include
- those described in U.S. Pat. Nos. 5,211,875 and 5,346,644 to Speer et al., which
- 24 are hereby incorporated by reference in their entirety. Examples of oxidizable

- 1 compounds include polybutadiene, polyisoprene, styrene-butadiene block
- 2 copolymers, polyterpenes, poly(meta-xyleneadipamide) (also known as MXD6),
- 3 polymers of fatty acids such as oleic, ricinoleic, dehydrated ricinoleic, and linoleic
- 4 acids and esters of such acids, acrylates which can be prepared by
- 5 transesterification of poly(ethylene-methyl acrylate) such as poly(ethylene-methyl
- 6 acrylate-benzyl acrylate), poly(ethylene-methyl acrylate-tetrahydrofurfuryl
- 7 acrylate), poly(ethylene-methyl acrylate-nopol acrylate) and mixtures thereof.
- 8 Such transesterification processes are disclosed in U.S. Serial No. 08/475,918
- 9 filed June 7, 1995, the disclosure of which is hereby incorporated by reference.
- 10 Butadiene polymers and copolymers, such as styrene-butadiene-styrene block
- 11 copolymer, are preferred for low temperature applications requiring
- 12 transparency.
- 13 The polyterpenes such as poly(alpha-pinene), poly(dipentene),
- 14 poly(beta-pinene), and poly(limonene)are especially effective oxygen scavenger
- materials and produce reduced amounts of migratory carboxylic acids,
- aldehydes and alkenes which can produce objectionable odors and tastes.
- 17 The oxygen scavenging material can be introduced into the oxygen scavenging
- system by a variety of techniques. The oxygen scavenging material can be
- 19 formed into films which can be a separate layer in a multi-layer structure, coated
- 20 or laminated onto a material such as aluminum foil or paper, formed into bottles
- or other rigid containers, or even incorporated into a material such as paper, for
- 22 example, in flexible and rigid packaging. The oxygen scavenging material can
- also be in a localized area on a layer; for example, it may be in a patch that is
- 24 laminated to another layer. The oxygen scavenging composition can contain a
- 25 mixture of two or more oxidizable organic compounds.

- 1 The oxygen scavenging material is generally present in the oxygen scavenging
- 2 composition in an amount sufficient to scavenge at least 0.1 cc O₂/gram of
- 3 oxygen scavenging composition/day at 25°C. Preferably, it is capable of
- 4 scavenging at least about 0.5 cc O₂, and more preferably at least about 1 cc
- 5 O₂/gram of oxygen scavenging composition/day at 25°C. For many applications,
- 6 such as food and beverage storage, it is desirable to select an oxygen
- 7 scavenging material which will effectively scavenge oxygen as indicated at a
- 8 temperature of 4°C.
- 9 The amount of oxygen scavenging material employed in the oxygen scavenging
- 10 composition can vary broadly depending on the desired characteristics of the
- 11 final product. Generally, the oxygen scavenging material is present in an amount
- in the range of from about 1 weight percent to about 99 weight percent based on
- 13 the total oxygen scavenging composition, preferably from about 5 weight percent
- 14 to about 95 weight percent, and more preferably from 10 weight percent to
- 15 90 weight percent.
- 16 The catalyst can be any catalyst known in the art which is effective in initiating
- 17 the oxygen scavenging reaction. Typical catalysts include transition metal salts.
- Suitable catalysts are disclosed in U.S. Pat. Nos. 5,211,875 and 5,346,644 to
- 19 Speer et al., the disclosures of which were previously incorporated by reference
- 20 in their entirety. Suitable transition metal salts are those which contain
- 21 manganese, iron, cobalt, nickel, copper, rhodium, and ruthenium, preferably iron,
- 22 nickel copper, manganese or cobalt.
- 23 Cobalt compounds containing organic or inorganic anions are preferred.
- 24 Suitable anions include chloride, acetate, stearate, caprylate, palmitate,
- 25 2-ethylhexanoate, citrate, glycolate, benzoate, neodecanoate, naphthenate,

- 1 oleate, and linoleate. Organic anions are preferred and cobalt oleate, cobalt
- 2 linoleate, cobalt neodecanoate, cobalt stearate and cobalt caprylate are
- 3 especially preferred. It has been found that a composition comprising the
- 4 combination of cobalt stearate and benzoylated styrene oligomer is especially
- 5 effective at scavenging oxygen at low temperature and oxygen levels, e.g., 1%
- 6 oxygen levels at 4°C.
- 7 The catalyst is present in an amount sufficient to catalyze the oxygen
- 8 scavenging reaction. Generally, the catalyst will be present in an amount in the
- 9 range of from about 10 parts per million by weight (ppm) to about 10,000 ppm by
- 10 weight transition metal ion based on the total weight of the oxygen scavenging
- 11 composition, preferably from 10 ppm to 5,000 ppm transition metal ion.
- 12 The catalyst can be introduced in any manner which does not react with and/or
- 13 deactivate the catalyst. For example, the catalyst can be applied onto the
- 14 oxygen scavenging material by any suitable means, e.g., coating techniques
- 15 such as spray coating, extrusion compounding or lamination. Further, the
- 16 catalyst may be included as part of a compounded master batch using a suitable
- 17 carrier resin.
- 18 The oxygen scavenging composition can be activated by methods known in the
- 19 art such as by actinic radiation, i.e., ultraviolet or visible light having a wave
- 20 length in the range of from about 200 nm to about 750 nm, electron beam, or
- 21 thermal triggering. Such methods are described in U.S. Pat. No. 5,211,875, the
- 22 disclosure of which is hereby incorporated by reference. The composition is
- 23 typically activated with at least 0.1 J/cm², preferably in the range of from
- 24 0.5 J/cm² to 200 J/cm² of UV radiation in the range of from 200 nm to 400 nm,
- 25 preferably in the range of from 0.5 J/cm² to 100 J/cm², and more preferably in the

- 1 range of from 0.5 J/cm² to 20 J/cm². The composition can also be activated with
- 2 an electron beam at a dosage of about 0.2 to 20 megarads, preferably about 1 to
- 3 10 megarads. Other sources of radiation include ionizing radiation, such as
- 4 gamma, x-rays or corona discharge. The radiation is preferably conducted in the
- 5 presence of oxygen.
- 6 The duration of exposure depends on several factors including the amount and
- 7 type of photoinitiator present, thickness of the layers to be exposed, amount of
- 8 any antioxidant present, and the wavelength and intensity of the radiation
- 9 source. The activation is conducted prior to using the layer or article. Exposure
- 10 to a flat layer or article provides the most uniform radiation.
- 11 For many applications, the oxygen scavenging composition can contain a diluent
- 12 polymer to provide desired characteristics. Suitable diluent polymers include
- 13 polyethylene, polypropylene, poly(vinyl chloride), and ethylene copolymers such
- 14 as ethylene-vinyl acetate, ethylene-alkyl acrylates, ethylene-acrylic acid,
- 15 ethylene-acrylic acid ionomers, and mixtures thereof.
- 16 In another aspect of the invention, the oxygen scavenging composition
- 17 comprises a first phase comprising the oxygen scavenging material and a
- 18 second phase comprising the catalyst. Such compositions are disclosed in U.S.
- 19 Serial No. 08/388,815 filed February 15, 1995, the disclosure of which is
- 20 incorporated herein by reference. The first phase is essentially devoid of
- 21 catalyst. The second phase is in sufficiently close proximity to the first phase to
- 22 catalyze the oxygen scavenging reaction. When the oxygen scavenging material
- and the catalyst are in separate phases, processing difficulties, such as
- 24 deactivation of the catalyst or shortened shelf-life, are avoided.

- 1 In another aspect of the invention, the catalyst is incorporated into a polymeric
- 2 material to form at least one catalyst-containing layer. This layer is then brought
- 3 into contact with the oxygen scavenging material. The particular polymeric
- 4 material used is not critical as long as it does not deactivate the catalyst.
- 5 In another aspect of the invention, the oxygen scavenging composition or system
- 6 can include a polymeric selective barrier layer. Such compositions are disclosed
- 7 in U.S. Serial No. 08/304,303 filed September 12, 1994, the disclosure of which
- 8 is incorporated herein by reference. The selective barrier layer functions as a
- 9 selective barrier to certain oxidation by-products, but does allow the transmission
- of oxygen. Preferably, the layer prevents at least half of the number and/or
- 11 amount of oxidation by-products having a boiling point of at least 40°C from
- 12 passing through the polymeric selective barrier layer. Preferably, the selective
- 13 barrier is located between the packaged item and the oxygen scavenging
- 14 material.
- 15 The oxygen scavenging composition can also contain an oxygen barrier layer
- 16 located on the outside of the scavenging layers to prevent the entry of oxygen
- 17 into the sealed package. Typical oxygen barriers include poly(ethylene
- vinylalcohol), polyvinylalcohol, polyacrylonitrile, poly(vinyl chloride),
- 19 poly(vinylidene dichloride), poly(ethylene terephthalate), silica coatings and
- 20 polyamides such as Nylon 6, and Nylon 6,6 and MXD6. Copolymers of certain
- 21 materials described above and metal foil layers can also be employed.
- 22 Additional layers such as adhesive layers or heat seal layers may also be
- 23 employed. Adhesive layers include anhydride functionalized polyolefins.

- 1 The oxygen scavenging composition can include additives, stabilizers.
- 2 plasticizers, fillers, pigments, dyestuffs, processing aids, anti-blocks, plasticizers,
- 3 fire retardants, antifog agents, etc., which do not interfere with the oxygen
- 4 scavenging function. The composition can also include antioxidants which inhibit
- 5 the formation of free radicals and therefore improve storage of the oxygen
- 6 scavenging composition prior to its use in oxygen scavenging applications. The
- 7 presence of such antioxidants inhibits the initiation of the oxygen scavenging
- 8 reaction until the photoinitiator is activated by radiation. Therefore, the amount
- 9 employed will depend on the desired storage life of the composition, the
- 10 photoinitiator, and the activation method employed.
- 11 The present oxygen scavenging compositions or systems are useful in improving
- 12 the shelf-life of packaged oxygen-sensitive products such as food.
- 13 pharmaceuticals, cosmetics, chemicals, electronic devices, and health and
- 14 beauty products. The system can be used in rigid containers, flexible bags, or
- 15 combinations of both. The system can also be used in moldings, coatings,
- strip/ribbon, patches, bottle cap inserts, and molded or thermoformed shapes,
- 17 such as bottles and trays. In all of these applications, the oxygen scavenging
- 18 composition effectively scavenges oxygen, whether it comes from the headspace
- of the packaging, is entrained in the food or product, or originates from outside
- 20 the package.
- 21 Oxygen scavenging layers and articles are preferably prepared by melt-blending
- 22 techniques. However, other methods such as the use of a solvent followed by
- 23 evaporation may also be employed. When the blended composition is used to
- 24 make film layers or articles, coextrusion, solvent casting, injection molding,
- 25 stretch blow molding, orientation, thermoforming, extrusion coating, coating and

24

curing, lamination, extrusion lamination or combinations thereof would typically 1 follow the blending. 2 The present invention will now be described further in terms of certain examples 3 which are solely illustrative in nature and should in no way limit the scope of the 4 present invention. 5

EXAMPLES 6

Example 1 7

Tribenzoyl Triphenylbenzene

The photoinitiator tribenzoyl triphenylbenzene was prepared by placing 300 mL 9 nitrobenzene, 152 g (1.06 mole) benzoyl chloride, and 100 g (0.33 mole) 10 triphenylbenzene into a 2 L 4-necked flask. The mixture was warmed to about 11 60°C and 348 g (2.52 moles) aluminum trichloride was slowly added over a 12 period of about 1 hour. The temperature rose to about 80°C and was maintained 13 for about 4 hours. The mixture was cooled to about 40°C. The reaction mixture 14 was poured into a solution of 340 g of HCl and 2 L water while stirring vigorously. 15 The mixture was allowed to stand overnight and the water was decanted. The 16 remaining mixture was filtered, washed with distilled water, and the wet cake was 17 transferred into a 2 L 3-necked flask equipped with a mechanical stirrer. To the 18 flask was added 900 mL distilled water. The reaction mixture was vacuum 19 distilled until all nitrobenzene was removed. The reaction mixture was cooled, 20 filtered and washed with water. The solid tribenzoyl triphenylbenzene was boiled 21 in methanol, cooled and filtered. The solid was dissolved in 350 mL hot 22 chloroform with activated carbon black and was boiled for 10 minutes and then 23 filtered. The thus-prepared tribenzoyl triphenylbenzene solid was recrystalized

1	again with hot chloroform, washed with methanol, and dried. The product was
2	characterized by NMR, UV, IR and exhibited a melting point of 195°C. The
3	molecular weight determined by mass spectrometry was 618.
4	Benzoylated Styrene Oligomer
5	'A mixture of atomore Asimone Asimone and Asimone Asim
	A mixture of styrene trimers was distilled from a polystyrene by-products stream.
6	Then 95 g (0.3 mol) of this trimer mixture was slowly added to a solution of 218 g
7	(2 moles) of benzoyl chloride and 134 g (1 mole) of AICl ₃ . After stirring
8	overnight, the mixture was poured into a solution of 250 g NaOH in 700 ml of
9	water and 100 g of ice with constant stirring. Then 2000 ml of toluene was
10	added to the mixture which was stirred an additional 2 hours. The mixture was
11	filtered to remove Al(OH) ₃ and the organic layer was washed twice with 500 ml
12	portions of saturated NaCl solution followed by two additional washes with
13	1000 ml of distilled water. The organic layer was dried overnight with MgSO ₄ .
14	After filtering off the MgSO ₄ , the solvent was removed under vacuum on a rotary
15	evaporator to yield 112 g of a dark brown, viscous liquid. Analysis of the product
16	showed it comprised a mixture of mono-, di-, and tri-benzoylated compounds.
- 17	The mixture comprises the non-extractable photoinitiators dibenzoylated
18	1,1-diphenyl ethane, di-benzoylated 1,3 diphenyl propane, di-benzoylated
19	1-phenyl naphthalene, dibenzoylated styrene dimer, and dibenzoylated styrene
20	trimer.
21	<u>Ditoluoyl Biphenyl</u>
22	
22	The photoinitiator ditoluoyl biphenyl was prepared by placing 300 mL
23	nitrobenzene, 38.6 g (0.25 mole) biphenyl, and then 77.3 g (0.5 mole) p-toluoyl
24	chloride into a 1 liter 4-necked flask equipped with a water condenser. The
25	reaction mixture was cooled to -2°C. While stirring 66.7 g (0.5 mole) aluminum

WO 98/51758

-21-

trichloride was added slowly over a period of about 20 minutes. During the 1 reaction, the temperature increased to 14°C. The color changed from light 2 vellow to reddish and then to dark green. The ice bath was removed and the 3 reaction mixture was stirred at room temperature for 1 hour. The water bath 4 temperature was increased to 67°C and stirred for 20 hours. The temperature 5 was increased to about 90°C for 3 hours. The reaction mixture was poured with 6 vigorous stirring into 1.2 L of 8% HCl solution. The mixture was stirred for 7 1/2 hour, then 2 L chloroform was added. The organic layer was separated and 8 9 washed with 2 x 1 L sodium bicarbonate solution followed by 2 x 1 L water. The organic layer was dried by magnesium sulfate. The solvent was removed by 10 vacuum and the remaining solid was washed with 2 x 200 mL water. The 11 12 thus-produced ditoluoyl biphenyl was dissolved in 1 L chloroform and 800 mL toluene and treated with carbon black. The mixture was heated for 10 minutes 13 14 and filtered hot. The thus-produced ditoluoyl biphenyl crystallized out upon

Dibenzoyl Biphenyl

cooling and was dried under vacuum overnight.

15

16

17

18

19

20

21

22

23

24

25

26

27

The photoinitiator dibenzovl biphenyl was prepared by placing 200 mL nitrobenzene, 38.5 g (0.25 mole) biphenyl, and 140.6 g (1.00 mole) benzoyl chloride into a 1 liter 3-necked flask equipped with a water condenser. While stirring, 160 g (1.2 mole) aluminum trichloride was added slowly over a period of about 30 minutes. During the reaction, the temperature increased from 30°C to 55°C. The color changed from yellowish to light brown, then reddish. The flask was kept in a water bath at a temperature of 55°C to 60°C for 3 hours while stirring. The reaction mixture was then cooled to 35°C. The reaction mixture was poured into 1.2 L of 8% HCl solution with vigorous stirring. The organic layer was separated and poured into a blender and 500 mL n-hexane was added. The mixture was vigorously stirred for 5 minutes and then filtered. The

- solid was washed with n-hexane. The thus-produced dibenzoyl biphenyl was
- 2 dried and recrystalized twice in chloroform.

3 <u>Film Preparation</u>

- 4 Oxidizable resin, carrier resin, catalyst and the photoinitiator to be evaluated
- 5 were compounded in a twin screw extruder (Haake Rheocord TW-100 or Werner
- 6 & Pfleiderer ZSK-30) at about 170°C. The carrier resin was polyethylene,
- 7 PE1017, from Chevron and the oxidizable resin was styrene-butadiene-styrene
- 8 copolymer, Vector 8508D from Dexco. The catalyst was 1000 ppm by weight
- 9 cobalt in the form of cobalt oleate based on the total weight of the film. The
- 10 indicated photoinitiator was present in the amount of 1000 ppm based on the
- 11 total weight of the film. The compounded polymer containing the catalyst and
- 12 40% Vector and 60% PE1017 was pelletized. A Randcastle cast film multi-layer
- 13 micro extruder was employed to create three-layer films in an "ABA" structure in
- which "A", the outer layers, were 0.5 mil Dowlex 3010 polyethylene and "B", the
- 15 inner layer, was 1.0 mil of the compounded polymer. Total film thickness was
- 16 2.0 mils.

17 <u>Head Space Oxygen Absorption</u>

- 18 Samples of 5 x 20 cm size 3 layer films made above with an average weight of
- 19 0.42 gram were irradiated under a 254 nm UV lamp for a fixed period of time
- 20 (e.g., 1 minute, approximately 600 mJ/cm² of light energy measured at 254 nm).
- 21 The film was immediately sealed in an aluminum foil bag which was evacuated
- 22 and filled with 300 mL 1% oxygen. The oxygen content was monitored by
- 23 Mocon oxygen headspace analyzer for a week and oxygen level recorded.
- 24 The results are graphically represented in Figures 1 and 2.

1 **Extraction Test** FDA recommendations for conducting migration (extraction) studies are found in 2 "Recommendations for Chemistry Data for Indirect Food Additive Petitions". 3 Food & Drug Administration (Chemistry Review Branch, Office of Premarket 4 Approval, Center for Food Safety & Applied Nutrition), Washington, D.C. 20204. 5 6 June 21, 1995. Extraction tests were conducted using three methods. In the first extraction 7 method, Method A, a 5 by 20 cm (15.5 sq. in.) piece of the film was irradiated (or 8 not) and placed in a 20 ml headspace vial with 14 grams of ethanol. The vials 9 were sealed with a crimped septum cap. The extraction period was 10 days at 10 room temperature. The vials were opened and the film removed. The extracts 11 were then stored at room temperature in the dark before testing. 12 In the second extraction method, Method B, a 2-inch diameter film sample was 13 activated with 800 mJ/cm² UV, then placed in a gas tight cylindrical aluminum 14 extraction cell. The extraction cell was flushed with gas containing 1% oxygen. 15 A slight positive pressure was produced. Oxygen scavenging was allowed to 16 occur within the cell at room temperature for 10 days. The oxygen scavenging 17 regults are graphically represented in Figures 1 and 2. Then 12.5 grams 95% 18 ethanol was added to each cell through a septum. Residual volume of gas was 19 set at 7-8 ml. The cell was inverted to allow contact of ethanol with the oxidized 20 am and then placed in nitrogen cabinet at room temperature for 11 days. The 21 extract was removed from the cells using a syringe needle in one septum and 22 pressurizing the cell with nitrogen using a second needle in a second septum. 23

The extracts were held in the dark at room temperature before testing.

- 1 In the third extraction method, Method C, film was extracted with Miglyol 812
- 2 (derived from coconut oil) in an extraction cell as described in Method B at a ratio
- 3 of 10 g solvent/in². Samples were extracted at room temperature for 10 days.
- 4 The extracts from all methods were then analyzed for photoinitiator extractives
- 5 using gas chromatography (GC-FID) or liquid chromatography (HPLC) methods
- 6 which were calibrated using standard solutions of each photoinitiator. Those
- 7 photoinitiators having low enough boiling points to permit them to pass through a
- 8 GC column (DB-1, 0.5 mm ID, 60/5/10/300/60°C temperature program) were
- 9 analyzed using gas chromatography. Anthrone, xanthone, 4-benzoyl biphenyl
- 10 and dibenzoyl biphenyl were determined by gas chromatography. The remaining
- 11 compounds could not be analyzed using gas chromatography methods because
- 12 of their high boiling points. Liquid chromatography methods were used for these
- 13 compounds.
- 14 In Table 1 below:
- 15 ANTH is anthrone.
- 16 XAN is xanthone.
- 17 BBP is 4-benzoyl biphenyl.
- 18 BBDE is 4,4'-bis(benzoyl)-diphenyl ether.
- 19 DBBP is dibenzoyl biphenyl.
- 20 BSO is benzoylated styrene oligomer comprising benzoylated styrene dimers,
- 21 trimers and pentamers.
- 22 BBP³ is tribenzoyl triphenylbenzene.

- 1 DTBP is ditoluoyl biphenyl.
- 2 Good oxygen scavenging compositions consumed half of the available oxygen in
- 3 less than 4 days.
- 4 Fair oxygen scavenging compositions consumed half of the available oxygen in
- 5 4-5 days.
- 6 Poor oxygen scavenging compositions consumed half of the available oxygen in
- 7 greater than 6 days.
- 8 PI in extract is the amount of photoinitiator in ppb found in the extract
- 9 (normalized to 10 g simulant/in² film).
- 10 DL is the detection limit defined as 3 times the instrument signal noise
- 11 (normalized to 10 g simulant/in² film).

Table 1

Run	Photo- initiator	Days to reach 0.5% Oxygen	Extraction Method	Triggered UV-254	PI in Extract (ppb)	DL (ppb)
101	ANTH	NA*	Α	No	663	7
102	ANTH	4-5	Α	1 min.	63	7
103	ANTH	4-5	В	1 min.	85	26
201	XAN	NA	Α	No	870	6
202	XAN	> 6	Α ·	1 min.	269	6
203	XAN	> 6	В	1 min.	218	29
301	BBP	NA	Α	No	834	6
302	BBP	3	Α	1 min.	358	6
303	BBP	3	В	1 min.	357	26

Run	Photo- initiator	Days to reach 0.5% Oxygen	Extraction Method	Triggered UV-254	PI in Extract (ppb)	DL (ppb)
401	BBDE	NA	С	1 min.	1125	50
501	DBBP	3-4	Α	1 min.	404	8
502	DBBP	3-4	В	1 min.	409	38
601	BSO	2-3	Α	1 min.	ND**	30
602	BSO	2-3	В	1 min.	100	45
701	BBP ³	2-3	Α	1 min.	23	5
702	BBP ³	2-3	В	1 min.	ND	20
801	DTBP	2-3	Α	1 min.	ND	7
802	DTBP	2-3	В	1 min.	ND	30

^{1 *}Not Available

- 3 The Table above demonstrates the low leachability of and effective
- 4 photoinitiation of the inventive photoinitiators. Runs 101-401 are submitted for
- 5 comparative purposes. It is believed that removal of small molecular weight
- 6 compounds from the BSO photoinitiator will further reduce its leachability.

7 <u>Example 2</u>

- 8 Three layer films were prepared as described in Example 1 with the exception of
- 9 employing 1000 ppm by weight cobalt in the form of cobalt stearate as catalyst
- and benzoylated styrene oligomer and 4-benzoyl biphenyl individually as
- 11 photoinitiators. The photoinitiators were also present at 1000 ppm by weight
- 12 based on the oxygen scavenging composition.

^{2 **}None detected

14

The results are graphically represented in Figure 3. The results demonstrate the 1 effectiveness of cobalt stearate and benzoylated styrene oligomer in providing 2 good oxygen scavenging in a relatively short time. 3 Example 3 4 **Benzoylated Meta-Terphenyl** 5 Meta-terphenyl 115 gram (0.5 mole) and benzoyl chloride 232 grams (1.65 mole) 6 were dissolved in 400 ml of nitrobenzene. The reactor temperature is 7 maintained at 40-50°C while 440 grams of aluminum chloride (3.3 mole) was 8 added in portions to control the exothermic reaction. The reaction mixture was 9 increased to 80°C for 4 hours and then poured into 3 L of 5% HCl solution. The 10 product was isolated by recrystalization from toluene and determined to be 11 di-benzoylated meta-terphenyl. The melting point was 205-208°C. The UV 12

spectra was similar to monomeric benzophenone. The molecular weight

determined by mass spectrometer was 438 g/mole.

1		CLAIMED IS	_
	WHALK	LAI VINNELD 19	◠.
		VALMINIE I I I I	•

2	1.	An oxygen scavenging composition comprising an oxygen scavenging material, a photoinitiator, and at least one catalyst effective in catalyzing
4		oxygen scavenging,
5 6		wherein the photoinitiator comprises at least one benzophenone derivative containing at least two benzophenone moieties, and
7		wherein the photoinitiator is substantially non-extractable when the oxygen
8		scavenging composition is exposed to a food simulant.
9	2.	The oxygen scavenging composition according to Claim 1 wherein the
10		photoinitiator is represented by the following formula:
11		$X_m(Y)_n$
12		wherein each X is a bridging group selected from the group consisting of
13		sulfur; oxygen; carbonyl; -SiR ₂ -, wherein each R is individually an alkyl
14		group containing from 1 to 12 carbon atoms, an aryl group containing 6 to
15		12 carbon atoms, an alkoxy group containing from 1 to 12 carbon atoms;
16		-NR'-, wherein R' is an alkyl group containing 1 to 12 carbon atoms, an aryl
17		group containing 6 to 12 carbon atoms, or hydrogen; and an organic group
18		containing from 1 to 50 carbon atoms;
19		wherein m is 0 to 11;
20		wherein Y is a substituted or unsubstituted benzophenone group, wherein
		a substituted of disabstituted benzophenone aroun wherein

1		phenoxy, or alicylic group containing from 1 to 24 carbon atoms, or a
2		halide; and
<u>3</u>		wherein n is 2-12.
4	3.	The oxygen scavenging composition according to Claim 2 wherein the
5		combined molecular weight of X plus R" is at least 30 g/mole.
6	4.	The oxygen scavenging composition according to Claim 1 wherein less
7		than 250 ppb of photoinitiator are extracted through a 0.5 mil polyethylene
8		film at room temperature from an oxygen scavenging composition
9		containing 1000 ppm by weight photoinitiator when exposed to 10 g fatty
10		food simulant per square inch of 1 mil film at room temperature after
11		10 days.
12	5.	The oxygen scavenging composition according to Claim 4 wherein less
13		than 100 ppb of photoinitiator are extracted.
14	6.	The oxygen scavenging composition according to Claim 5 wherein less
15		than 50 ppb of photoinitiator are extracted.
16	7.	An oxygen scavenging composition comprising an oxygen scavenging
17		material, a photoinitiator, and at least one catalyst effective in catalyzing
18		oxygen scavenging,
19		wherein the photoinitiator comprises at least one benzophenone derivativ
20		containing at least two benzophenone moieties, and

1		wherein the photoinitiator, after being irradiated with UV light, is
2		substantially non-extractable when the oxygen scavenging composition is
3		exposed to a food simulant, and
4		wherein the oxygen scavenging composition has an induction period of
5		1 day or less.
6	8.	The oxygen scavenging composition according to Claim 7 wherein less
7		than 250 ppb of photoinitiator are extracted from an oxygen scavenging
8		composition containing 1000 ppm by weight photoinitiator when exposed to
9		10 g fatty food simulant per square inch of 1 mil film at room temperature
10		after 10 days.
11	9.	The oxygen scavenging composition according to Claim 8 wherein less
12		than 100 ppb of photoinitiator are extracted.
13	10.	The oxygen scavenging composition according to Claim 9 wherein less
14		than 50 ppb of photoinitiator are extracted.
15	11.	The oxygen scavenging composition according to Claim 8 which consumes
16		half of the available oxygen in a container containing 1 percent oxygen in
17		less than 4 days at 25°C.
18	12.	The oxygen scavenging composition according to Claim 11 which
19		consumes half of the available oxygen in a container containing 1 percent
20		oxygen in less than 4 days at 4°C.
		75 days at 4 C,

- 1 13. The oxygen scavenging composition according to Claim 12 which exhibits
- 2 an oxygen scavenging capability of at least 0.1 cc O₂/gram of oxygen
- 3 scavenging composition/day at 25°C.
- 4 14. The oxygen scavenging composition according to Claim 13 which exhibits
- an oxygen scavenging capability of at least about 0.5 cc O₂/gram of oxygen
- 6 scavenging composition/day at 25°C.
- 7 15. The oxygen scavenging composition according to Claim 14 which exhibits
- an oxygen scavenging capability of at least about 1 cc O₂/gram of oxygen
- 9 scavenging composition/day at 25°C.
- 10 16. The oxygen scavenging composition according to Claim 15 which exhibits
- an oxygen scavenging capability of at least about 1 cc O₂/gram of oxygen
- 12 scavenging composition/day at 4°C.
- 13 17. The oxygen scavenging composition of Claim 16 wherein the photoinitiator
- has a molecular weight in the range of about 360 to about 5000.
- 15 18. The oxygen scavenging composition of Claim 7 wherein the photoinitiator
- 16 comprises tribenzoyl triphenylbenzene or substituted tribenzoyl
- triphenylbenzene, wherein the substituents are alkyl, aryl, alkoxy, phenoxy,
- or alicylic groups containing from 1 to 24 carbon atoms or halides.
- 19 19. The oxygen scavenging composition of Claim 18 wherein the photoinitiator
- comprises tribenzoyl triphenylbenzene or tritoluoyl triphenylbenzene.
- 21 20. The oxygen scavenging composition of Claim 7 wherein the photoinitiator
- 22 comprises benzoylated styrene oligomer or substituted benzoylated styrene

1	oligomer, wherein the substituents are alkyl, aryl, alkoxy, phenoxy, or
	alicylic groups containing from 1 to 24 carbon atoms or halides.

- 3 21. The oxygen scavenging composition of Claim 20 wherein the photoinitiator
- 4 comprises di-benzoylated styrene trimer, tri-benzoylated styrene pentamer,
- 5 tetra-benzoylated styrene pentamer or di-benzoylated styrene dimer.
- 6 22. The oxygen scavenging composition of Claim 7 wherein the photoinitiator
- 7 comprises substituted dibenzoyl biphenyl, wherein the substituents are
- 8 alkyl, aryl, alkoxy, phenoxy, or alicylic groups containing from 1 to
- 9 24 carbon atoms or halides.
- The oxygen scavenging composition of Claim 22 wherein the photoinitiator
 comprises ditoluoyl biphenyl.
- 12 24. The oxygen scavenging composition of Claim 7 wherein the photoinitiator
- 13 comprises benzoylated terphenyl or substituted benzoylated terphenyl,
- wherein the substituents are alkyl, aryl, alkoxy, phenoxy, or alicylic groups
- containing from 1 to 24 carbon atoms or halides.
- 16 25. The oxygen scavenging composition of Claim 24 wherein the photoinitiator comprises dibenzoylated meta-terphenyl.
- 18 26. The oxygen scavenging composition of Claim 7 wherein the photoinitiator is
- present in an amount in the range of from about 0.001 weight percent to
- about 10 weight percent based on the total weight of the oxygen
- 21 scavenging composition.

- The oxygen scavenging composition of Claim 26 wherein the photoinitiator is present in an amount in the range of from about 0.005 weight percent to about 5 weight percent based on the total weight of the oxygen scavenging composition.
 The oxygen scavenging composition of Claim 7 wherein the oxygen scavenging material is an organic compound.
- 7 29. The oxygen scavenging composition of Claim 28 wherein the oxygen scavenging material is an ethylenically unsaturated organic compound.
- 30. The oxygen scavenging composition of Claim 28 wherein the oxygen
 scavenging material is an organic polymer.
- 11 31. The oxygen scavenging composition of Claim 30 wherein the oxygen 12 scavenging material is polybutadiene, polyisoprene, styrene-butadiene 13 block copolymers, acrylates which are prepared by transesterification of 14 poly(ethylene-methyl acrylate), or a polyterpene.
- The oxygen scavenging composition of Claim 31 wherein the oxygen
 scavenging material is poly(alpha-pinene), poly(dipentene),
 poly(beta-pinene), or poly(limonene).
- 18 33. The oxygen scavenging composition of Claim 31 wherein the oxygen 19 scavenging material is styrene-butadiene-styrene block copolymer.
- 34. The oxygen scavenging composition of Claim 7 wherein the oxygen
 scavenging material is present in an amount in the range of from about

1 2		1 weight percent to about 99 weight percent based on the total oxygen scavenging composition.
3	35.	The oxygen scavenging composition of Claim 34 wherein the oxygen
4		scavenging material is present in an amount in the range of from about
5		5 weight percent to about 95 weight percent based on the total oxygen
6		scavenging composition.
7	36.	The oxygen scavenging composition of Claim 35 wherein the oxygen
8		scavenging material is present in an amount in the range of from 10 weight
9		percent to 90 weight percent based on the total oxygen scavenging
10		composition.
11	37.	The oxygen scavenging composition of Claim 7 wherein the catalyst is a
12		transition metal salt.
13	38.	The oxygen scavenging composition according to Claim 37 wherein the
14		catalyst is a cobalt salt.
15	39.	The oxygen scavenging composition according to Claim 38 wherein the
16		catalyst is cobalt oleate, cobalt linoleate, cobalt neodecanoate, cobalt
17		stearate, or cobalt caprylate.
18	40.	The oxygen scavenging composition according to Claim 39 wherein the
19		catalyst is cobalt cleate.
20	41.	The oxygen scavenging composition according to Claim 39 wherein the
21		catalyst is cobalt stearate and the photoinitiator is benzoylated styrene
22		oligomer or benzoylated m-terphenyl.

		::
1	42.	The oxygen scavenging composition according to Claim 7 wherein the
2		catalyst is present in an amount in the range of from about 10 ppm to about
3		10,000 ppm transition metal ion based on the total weight of the oxygen
4	-	scavenging composition.
5	43.	The oxygen scavenging composition according to Claim 7 which is
6		activated with radiation having a wave length in the range of from about
7		200 to about 400 nm.
8	44 .	The oxygen scavenging composition according to Claim 7 wherein the
9		oxygen scavenging material comprises a first phase and the catalyst
0		comprises a second phase, wherein the second phase is in sufficiently
11		close proximity to the first phase to catalyze an oxygen scavenging
12		reaction.
13	45 .	The oxygen scavenging composition according to Claim 44 wherein the first
14		phase forms a first layer and the second phase forms a second layer.
15	46.	The oxygen scavenging composition according to Claim 45 wherein the
16		second layer is in contact with the first layer.
17	4 7.	The oxygen scavenging composition according to Claim 46 further

20 48. A film comprising the oxygen scavenging composition of Claim 7.

comprising an oxygen barrier layer, a selective barrier layer, or a heat seal

21 49. A film comprising the oxygen scavenging composition of Claim 45.

18 19

layer.

1	50	An article comprising the assurance
•	00.	An article comprising the oxygen scavenging composition of Claim 7.
2	51.	The article of Claim 50 wherein the article is a package.
3	52 .	The article of Claim 51 wherein the article is a package containing a food of
. 4		beverage product, cosmetic, chemical, electronic device, pesticide or
5		pharmaceutical.
6	53.	The article of Claim 50 wherein the article is a patch, bottle cap insert, or
7		molded or thermoformed shape.
8	54.	The article of Claim 53 wherein the molded or thermoformed shape is a
9		bottle or tray.
10	55 .	A method for scavenging oxygen within a package comprising sealing an
11		oxygen-sensitive product in the package of Claim 47.
12	56.	The method of Claim 55 wherein the package is activated with at least
13		0.1 J/cm ² UV radiation from 200 to 400 nm.
14	57.	A method for preparing an oxygen scavenging composition comprising melt
15		blending an oxygen scavenging material, a photoinitiator and at least one
16		catalyst effective in catalyzing oxygen scavenging,

wherein the photoinitiator comprises a benzophenone derivative containing

wherein the photoinitiator is substantially non-extractable when the oxygen

at least two benzophenone moieties, and

scavenging composition is exposed to a food simulant.

17

18

19

20

1	58.	A photoinitiator which is tribenzoyl triphenylbenzene or a substituted
2		tribenzoyl triphenylbenzene, wherein the substituents are alkyl, aryl, alkoxy,
3		phenoxy, or alicylic groups containing from 1 to 24 carbon atoms or halides.
4	59.	A method for preparing the photoinitiator of Claim 58 comprising reacting a
5		substituted or unsubstituted benzoyl halide with a substituted or
6		unsubstituted triphenylbenzene.
7	60.	A photoinitiator which is benzoylated styrene oligomer or a substituted
8		benzoylated styrene oligomer containing from 2 to 12 repeating styrenic
9		groups, wherein the substituents are alkyl, aryl, alkoxy, phenoxy, or alicylic
0		groups containing from 1 to 24 carbon atoms or halides.
1	61.	The photoinitiator according to Claim 60 wherein the benzoylated styrene
2		oligomer comprises di-benzoylated styrene trimer, tri-benzoylated styrene
3		pentamer, tetra-benzoylated styrene pentamer, and di-benzoylated styrene
14		dimer.
15	62 .	A method for preparing the photoinitiator of Claim 61 comprising reacting
16		substituted or unsubstituted styrene trimers with substituted or
17		unsubstituted benzoyl halide.
18	63.	A photoinitiator which is benzoylated meta-terphenyl or a substituted
19		benzoylated meta-terphenyl.
20	64.	A method for preparing the photoinitiator of Claim 63 comprising reacting
21		meta-terphenyl or substituted meta-terphenyl with benzoyl halide or

22

substituted benzoyl halide.

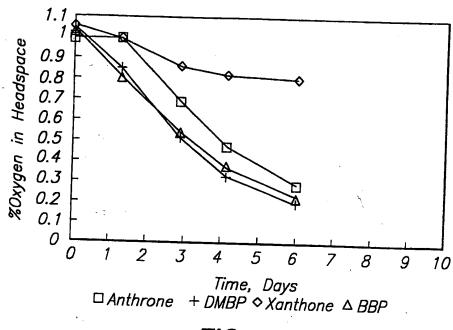


FIG. 1

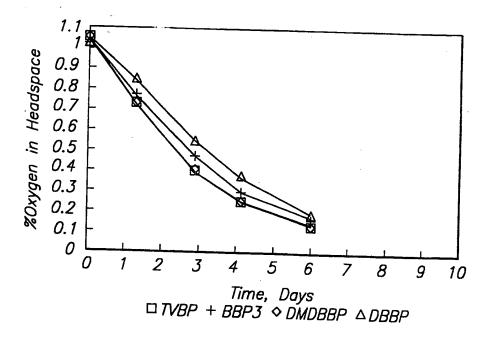
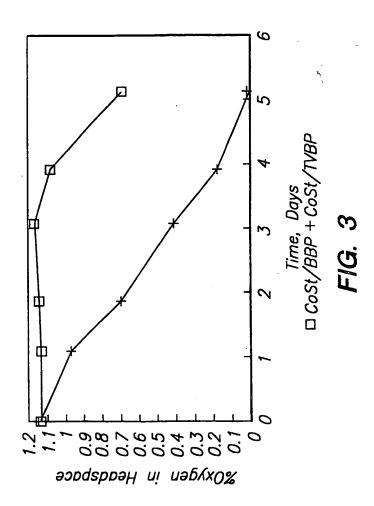


FIG. 2



PCT/US 98/07734

PCT/US 98/07734 CLASSIFICATION OF SUBJECT MATTER PC 6 C09K15/06 A23L IPC 6 A23L3/3436 C07C49/786 C07C45/46 B32B27/18 B65D81/26 B65D51/24 C08K5/00 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) C09K A23L C07C B32B B65D C08K IPC 6 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Category Relevant to claim No. US 5 211 875 A (SPEER DREW V ET AL) 18 Υ 1,7, May 1993 26 - 40cited in the application 42-57 see the whole document WO 96 33156 A (LAMBSON FINE CHEMICALS LIMITED ;ANDERSON DAVID GEORGE (GB); 1,7, 26-40, DAVIDS) 24 October 1996 see page 1, line 1 - page 3, line 1042-57 compound C1, page 17 WO 96 29362 A (GRACE W R & CO) 26 Α 1-57 September 1996 see the whole document Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the document defining the general state of the art which is not considered to be of particular relevance earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date "L" document which may throw doubts on pnority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled "O" document referring to an oral disclosure, use, exhibition or document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of theinternational search Date of mailing of the international search report 15 July 1998 22/07/1998 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Shade, M

1

Form PCT/ISA/210 (second sheet) (July 1992)

In Itional Application No PCT/US 98/07734

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT		Relevant to claim No.
ategory '	Citation of document, with indication, where appropriate, of the relevant passages		rielevanii (O Ciain) NO.
(PATENT ABSTRACTS OF JAPAN vol. 009, no. 119 (C-282), 23 May 1985 & JP 60 008303 A (ADEKA ARGUS KAGAKU KK), 17 January 1985,		63,64
A	see abstract		1,7, 57-62
A	US 3 954 868 A (VON SCHMELING BOGISLAV ET AL) 4 May 1976 see column 8 - column 10		58-64
A	US 3 663 712 A (SCHMELING BOGISLAV VON ET AL) 16 May 1972 see column 2, line 25 - line 35		58-64
A	US 3 979 459 A (ROSE JOHN BREWSTER) 7 September 1976 see the whole document		58-64
A	US 4 672 079 A (LI BASSI GIUSEPPE ET AL) 9 June 1987 see column 5, line 37 - line 63 see column 6, line 45 - line 68		60-62
P,A	EP 0 822 221 A (CLARIANT GMBH) 4 February 1998 see the whole document	·	1,7, 57-64

Information on patent family members

In tional Application No PCT/US 98/07734

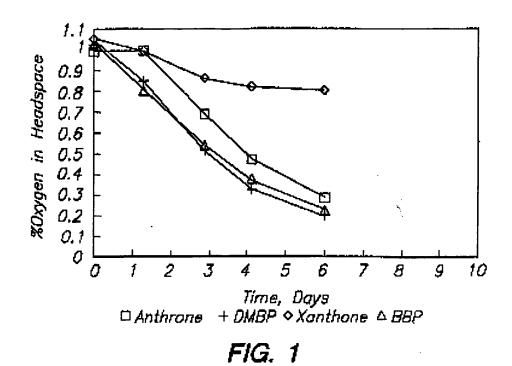
			PCT/US 98/07734			
Cite	Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US	S 5211875	A	18-05-1993	AU AU CA EP FI HU JP MX PL SK US US	650140 B 1811192 A 659773 B 5930594 A 2071079 A 0520257 A 922969 A 66841 A,B 102160 A 5194949 A 9203389 A 172392 B 197192 A 2093441 C 5425896 A 5498364 A	09-06-1994 07-01-1993 25-05-1995 16-06-1994 28-12-1992 30-12-1992 30-01-1995 27-11-1995 03-08-1993 01-12-1992 30-09-1997 07-09-1994 20-10-1997 20-06-1995 12-03-1996
WO	9633156	A	24-10-1996	EP	0822928 A	11-02-1998
WO	9629362	Α	26-09-1996	AU	4869396 A	08-10-1996
	3954868	Α	04-05-1976	US US CA US	3808316 A 4044139 A 975782 A 4202904 A	30-04-1974 23-08-1977 07-10-1975 13-05-1980
US	3663712	A	16-05-1972	AT BE CA CH DE FR GB JP LU NL ZA	304932 B 756648 A 942665 A 529507 A 2047370 A 2063017 A 1322075 A 49001848 B 61764 A 7014195 A 7006461 A	15-12-1972 25-03-1971 26-02-1974 31-10-1972 01-04-1971 02-07-1971 04-07-1973 17-01-1974 18-06-1971 29-03-1971 27-05-1971
US	3979459	Α	07-09 -1976	GB DE	1420506 A 2425199 A	07-01-1976 12-12-1974

Information on patent family members

In .tional Application No PCT/US 98/07734

Patent document cited in search repor	t	Publication date	. Patent family member(s)		Publication date
US 3979459	Α	<u> </u>	FR	2230615 A	20-12-1974
US 4672079	Α	09-06-1987	BR CA EP	8501709 A 1323463 A 0161463 A	10-12-1985 19-10-1993 21-11-1985
		.	JP JP	1617436 C 2036582 B	12-09-1991 17-08-1990
			JP JP JP	60252443 A 2160803 A 7080927 B	13-12-1985 20-06-1990 30-08-1995
EP 0822221		04-02-1998	 DE	19631244 A	12-02-1998
			AU CZ JP	3236497 A 9702464 A 10158243 A	12-02-1998 18-02-1998 16-06-1998
			NO	973555 A	03-02-1998

•



1.1 XOxygen in Headspace 0.9 0.0 0.7 0.6 0.5 0.4 0.3 0.2 0.1 0 5 2 3 6 7 g 0 1 8 10 Time, Days □ TVBP + BBP3 ♦ OMDBBP △ DBBP

FIG. 2

